



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) or Patentee: Gary J. Nabel and Elizabeth G. Nabel

Filed or Issued: August 20, 1999

For: Inhibition of Smooth Muscle Cell Migration by HEME Oxygenase I

Attorney Docket No: 8642/72

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VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION

TECH CENTER 1600/2000

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The Regents of the University of Michigan

ADDRESS OF ORGANIZATION: Technology Management Office
Wolverine Tower, Room 2071
3003 S. State St.
Ann Arbor, Michigan 48109-1280

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TYPE OF ORGANIZATION:

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
☐ Nonprofit scientific or educational under statute of state of The United States of America

(Name of state: _____)

(Citation of statute: _____)

☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3) if located in the United States of America

☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in the United States of America

(Name of state: _____)

(Citation of statute: _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled

by inventor(s) Gary J. Nabel and Elizabeth G. Nabel described in

- ☐ the specification filed herewith
☒ application serial no. 09/378,528 filed August 20, 1999
☐ patent no. _____ issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other

than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____

ADDRESS: _____
[] Individual [] Small Business Concern [] Nonprofit Organization

NAME: _____

ADDRESS: _____
[] Individual [] Small Business Concern [] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Marvin G. Parnes
Title in Organization: Associate Vice President for Research
Interim Director, Technology Management Office
Address of Person Signing: Wolverine Tower, Room 2071
3003 S. State Street
Ann Arbor, Michigan 48109-1280

Signature: Marvin G. Parnes Date: 10-5-00